PURPOSE:

1. This policy is based on Quality Training & Hospitality College (QTHC) providing appropriate mechanisms and services for students to have complaints and appeals addressed efficiently, effectively and in a confidential manner. A copy of this Policy and Procedure is also available on the QTHC website http://qthc.edu.au/.

SCOPE:

2.1 This policy applies to all QTHC staff members involved in the appeals process and all students who make an appeal or wish to lodge a complaint against QTHC.

2.2 QTHC is committed to providing an effective, efficient, timely, fair and confidential complaints and appeals handling procedure for all students.

2.3 This policy is made available to students at pre-enrolment and enrolment.

2.4 This policy relates to both Academic Matters and Non-Academic Matters; Academic Matters relate to student academic progress, assessment, curriculum, the quality of course delivery, academic achievement in a course and awards in a course. Examples include: results of review of remark against assessment results, exclusion from study, results of credit transfer or RPL applications, findings of allegations of cheating; quality of course delivery.

Non-Academic Matters include sexual harassment, racial or sexual discrimination, unfair treatment, physical or verbal abuse, behavioural issues, breach of personal information, concerns about campus facilities, environment, health and safety or equipment.

POLICY:

3.1 QTHC is committed to the continuous improvement of its services for staff and students and aims to provide adequate and easily activated procedures to deal with complaints. QTHC will treat complaints seriously and ensure that all processes are clear, confidential and fair to all parties.

3.2 During the complaints and appeals process, QTHC will maintain the student’s enrolment.

3.3 If a student lodges a formal complaint or appeal, the process for resolving the matter will commence within ten (10) business days.

3.4 Students are entitled to access the complaints and appeals procedures set out in this policy. The complainant and respondent will not be victimised or discriminated against at any stage of the process. When making a complaint, students have a right to:

   i. be present or make written presentation to any committee convened to hear the complaint;
   ii. be accompanied and assisted by a third party (such as a family member, friend, counsellor or other professional support person) at any or each stage of the process;
   iii. receive and respond to any documentation, as appropriate, that is submitted in connection with a complaint.
   iv. have a complaint treated confidentially with details only disclosed to staff involved in the complaint resolution process.
v. details will only be disclosed to third parties when QTHC has reasonable grounds to believe that failure to disclose the information will cause a threat to the life or health of any person, or the use is authorised by law;

vi. at all stages of the process, reasons and a full explanation in writing for decisions and actions taken as part of the procedures must be given if so requested by the complainant and/or respondent;

vii. have records of complaints and appeals and their outcomes be kept strictly confidential. Parties to the complaint will be allowed supervised access to these records.

3.5 Possible outcomes for making a complaint

i. A written undertaking or apology

ii. Written agreements in regard to future behaviours or actions;

iii. Remedial action;

iv. The issuing of new or updated internal procedures or guidelines;

v. Conciliation/mediation between two parties under the guidance of a mutually accepted impartial third party;

vi. Independent external mediation

3.6 The person who is the subject of concern must know all the allegations in relation to their behaviour.

i. they must have a full opportunity to put their case forward;

ii. all parties to the complaint have the right to be heard;

iii. all relevant submissions and evidence must be considered;

iv. matters which are not relevant must not be taken into account;

v. a decision must be based on evidence;

vi. the right to impartiality in the investigation and decision making process;

vii. the right to an absence of bias in the decision maker

3.7 QTHC will provide the student with a written statement on the outcome including details of the outcome

3.8 If the student is not satisfied with the result or conduct of the internal complaint handling and appeals process, the student may initiate an external appeal. External appeals must be initiated within Ten (10) business days of the conclusion of the internal appeal and the student must notify QTHC’s Managing Director that they are initiating an external appeal.

3.9 If the internal or external complaint handling or appeal process results in a decision that supports the student, QTHC will immediately implement any decision and/or corrective and preventative action required and advise the student of the outcome.
PROCEDURE

4.1 Stage One (Verbal)
In the first instance, complaints should be discussed with the person/people involved. If this is impractical, complainants should communicate with one of the staff listed below. The verbal complaint or appeal will be dealt with free of charge and within a reasonable timeframe, normally within ten (10) business days of receipt of the complaint.

Students should communicate with one of the following staff members:
   i. Managing Director
   ii. National Administration Manager
   iii. National Training Manager
   iv. International Student Support Office/Training Co-ordinator

Students have three (3) options for proceeding:
   i. Take no further action;
   ii. Make comments or suggestions
   iii. Make a written complaint or appeal in accordance with Stage two

4.2 Stage 2 (Written)
If unsatisfied with the response to the complaint or the time taken to resolve the matter is Stage One, the complainant may complete QTHC Complaints and Appeals Forms, available online at www.qthc.edu.au or from head office and forward it the National Administration Manager, who will discuss the complaint with the relevant QTHC staff member. The written complaint or appeal will be dealt with free of charge and within a reasonable timeframe, normally within ten (10) business days of receipt of the complaint.

Once a decision has been reached, the student will be notified of this decision in writing

Staff handling the complaint will ensure they:
   i. Listen and understand the nature of the complaint;
   ii. Explore all the options and possible implications for resolving the issue with the complainant;
   iii. Avoid any behaviour that might reasonably be interpreted as interrogative or judgemental;
   iv. Endeavour to find a resolution

Students then have three (3) options for proceeding:
   i. Take no further action;
   ii. Make comments or suggestions
   iii. Activate the external appeals process in accordance with Stage three

4.3 Stage 3 (External)
If unsatisfied with the response to the written complaint or the time taken to resolve the matter, the complainant may request that the matter be dealt with through an external dispute resolution process. This external appeal must commence within 10 business days of the conclusion of the internal appeals process.

The complainant may appoint an external agency of their choice for which they will bear the cost or request that the matter be referred to an external dispute resolution body appointed by QTHC.
The details for the external body and contact person are:

**The Office of the Training Advocate** provides a range of services including:
- Independent advice and support about any training related questions or concerns
- Advocacy to address any concerns
- Investigating complaints or referring them to the appropriate authority

**Office of the Training Advocate**
Ground Floor West
55 Currie Street, Adelaide
Phone: 1800 006 488

New South Wales, VETAB 02 9244 5335
Queensland, Training Line 1300 369
Or
The National Training Complaints Hotline 1800 000 674

**Australian Skills Quality Authority (ASQA)**
Students dissatisfied with the quality of service or training provided by QTHC may lodge a complaint with ASQA, who are authorised to deal with complaints about:
- The information provided to student about course(s)
- The delivery and assessment of the training received
- The qualifications that have or have not been issued

ASQA info line on 1300 701 801

**Overseas Students Ombudsman**
The Overseas Student Ombudsman investigates complaints about problems that overseas students have with private education and training in Australia

Call: 1300 362 072* within Australia.
Outside Australia call +61 2 6276 0111

4.4 Remedial Action
If the outcome of a student’s appeal through the internal or external complaints and appeals handling process is favourable to the student, QTHC will immediately advise the student of this and implement and decision and/or corrective and preventative action required.

4.5 Records
QTHC will file records of all informal and formal discussions regarding complaints, concerns and appeals and will record such evidence on the student file. If required, a corrective Action will be raised and actioned, then filed in the QTHC corrective action folder and detailed in the Corrective Action Register for future reference.

Complaints, concerns and appeals submitted each month will be reviewed and discussed by the Compliance Team at the monthly Compliance meetings.
COMPLAINTS AND APPEALS POLICY – FLOW CHART

Candidate verbally raises concerns with QTHC staff member

Matter resolved with QTHC staff member

YES

No further action required

NO

Candidate completes Complaints and Appeals Form & outlines the grounds for appeal. (valid, reliable, flexible, fair)

Appeal lodged with the RTO within 10 working days of the incident date.

Managing Director (or delegated representative) commences appeal through a participative process. (within 10 working days)

Managing Director (or delegated representative) reviews all evidence and makes a judgement.

The complainant is informed of the outcome in writing.

COMPLAINT UPHELD

Appropriate outcomes are implemented with the complainant, root cause identified and rectified.

COMPLAINT NOT UPHELD

If the complainant is not satisfied with the outcome, they are advised of their right to pursue the decision with an external agency. (must be initiated within 10 business days of the internal judgement)